



J. D. S.
for Sector 4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Group Art Unit:1502

Examiner: Gollamudi S. Kishore

In re PATENT REISSUE APPLICATION of:

Patentees : Patrick L. AHL et al.)
U.S. Patent No. : 5,662,930)
Issued : September 2, 1997)
Reissue)
Application No. : 09/398934)
Reissue Filed : September 1, 1999)
For : REDUCTION OF LIPOSOME-) **SMALL ENTITY STATUS**
INDUCED ADVERSE PHYSIO-)
LOGICAL REACTIONS)
Attorney Docket : 31839-150675) DATE: DECEMBER 7, 1999

The Statement of the assignee to establish small entity status is attached hereto.

This paper is filed pursuant to Rule 27.

Clayton H. Spencer Reg. 15,038
Should any additional fee be required, please charge the same to our deposit
account #19-3700, and advise us accordingly.

Respectfully submitted,
George H. Spencer
for George H. Spencer, Reg. 15,038
Marina V. Schneller
Reg. No. 26,032

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In re PATENT REISSUE APPLICATION of:

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Issued : September 2, 1997)
Reissue)
Application No. : 09/398934)
Reissue Filed : September 1, 1999)
For : REDUCTION OF LIPOSOME-INDUCED) TRANSMITTAL OF
ADVERSE PHYSIOLOGICAL) DECLARATIONS
REACTIONS)
Attorney Docket : 31839-150675) Date: December 7, 1999

Two original Declaration and Power of Attorney forms are enclosed herewith
together with PTO 1533 part 2.

Small entity status is being claimed in a paper filed concurrently herewith.
Accordingly, the fees are reduced from those designated on the PTO 1533.

Should any additional fee be required, please charge the same to our deposit
22-0161
account #19-3700, and advise us accordingly.

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n/7/99
Adjustment Date: 12/22/1999 DFLOYD
09/29/1999 JASHING 00000016 193700 09398934
03 FC:110 156.00 CR -276.00 OP

Respectfully submitted,
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for *George H. Spencer, Reg. 18038*
Marina V. Schneller
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UNITED STATES DEPARTMENT OF COMMERCE

Patent and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
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09/398, 934 09/01/99 AHL

P 31839-150675

0242/1007

MARINA V. SCHNELLER
VENABLE
PO BOX 34385
WASHINGTON DC 20043

NOT ASSIGNED

1615

DATE MAILED:

10/07/99

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of \$65.00 for a small entity in compliance with 37 CFR 1.27, or \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a small entity (statement filed) non-small entity is \$ 130.

1. The statutory basic filing fee is:

- missing.
 insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

2. The following additional claims fees are due:

\$ _____ for _____ total claims over 20.

\$ _____ for _____ independent claims over 3.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

3. The oath or declaration:

is missing or unsigned.

does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

7. Your filing receipt was mailed in error because your check was returned without payment.

8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

R. Venable A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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